

# Identified technology needs in TNAs and (i)NDCs

An introduction to the Technology Needs Database (TND) and analysis of technology needs identified by developing countries

**July 2017** 









#### Published by

NDE Germany Implementing Office c/o HEAT GmbH Seilerbahnweg 14 61462 Königstein, Germany

#### **Authors**

Frederic Hans, Frauke Röser, Anda Anica, Markus Hagemann (NewClimate Institute) Claudia Becker, Dietram Oppelt (HEAT International)

#### Contact

NDE Germany Implementing Office E-Mail: <u>info@nde-germany.de</u> Phone: +49 6174 969 47-0, or -22

The NDE Germany Implementing Office works on behalf of the Federal Ministry for Economic Affairs and Energy (BMWi)



The National Designated Entity (NDE) Germany is part of the United Nations Framework Convention on Climate Change (UNFCCC). Within the framework's Technology Mechanism, it serves as the first point of contact for all enquiries about technology cooperation with German companies, research institutions and the public sector. It also passes on enquiries from the Climate Technology Centre & Network (CTCN), such as requests for technical support in developing countries, to its network of partners within Germany. The German NDE is located at the Federal Ministry for Economic Affairs and Energy (BMWi). In 2016, the NDE Germany established an implementing office to carry out its services. The NDE's services for German partners include the provision of information on developing countries' and emerging markets' technology needs and corresponding opportunities for technology cooperation.

www.nde-germany.de www.facebook.com/NDE Germany www.twitter.com/NDE\_Germany www.linkedIn.com/company/nde-germany



# Contents

1	Stru	cture	e and content of this paper	4
2	Intro	oduct	ion to the Technology Needs Database (TND)	4
	2.1	Sou	rces	4
	2.2	Cat	egorization of technology needs	5
	2.3	Coc	ling rules	6
3	Role	e of t	echnology to achieve climate targets	6
	3.1	Ove	erview of countries specifying technology needs in TNAs and (i)NDCs	6
	3.2	Sun	nmary statistics	7
	3.2.	1	Mitigation	7
	3.2.	2	Adaptation	9
	3.3	Lim	itations	11
	3.4	Spe	cified technology needs in light of the Paris Agreement goals	12
	3.4.	1	Mitigation	12
	3.4.	2	Adaptation	15
4	Con	clusi	ons	18
5	Refe	erend	ces	20
Α	nnex –	A1 .		21
Α	nnex –	A2 .		22
Α	nnex –	А3		24



# **Abbreviations**

GEF Global Environment Facility
TND Technology Needs Database
TNA Technology Needs Assessment
NDC National Determined Contributions

NDE National Designated Entity

iNDC intended National Determinded Contributions



### 1 Structure and content of this paper

This briefing paper introduces the Technology Needs Database (TND)¹ compiled to support activities of the National Designated Entity (NDE) of Germany for technology transfer under the UNFCCC. The database captures technology needs identified by developing countries in Technology Needs Assessments (TNAs) and (intended) Nationally Determined Contributions ((i)NDCs) to achieve national climate goals in the areas of climate change mitigation and adaptation.

The paper starts with an introduction to the TND comprising an overview of sources used, the categorization of technology needs, and applied coding rules for technology needs in (i)NDCs (Chapter 2). Besides the general introduction of the TND, the aim of this briefing paper is twofold. First, it provides summary statistics for technology needs specified in the fields of mitigation and adaptation and discusses limitations of the information provided through the TNAs and (i)NDCs (Chapter 3). Secondly, additional analysis identifies overlaps and gaps between specified technology needs and the most important actions to achieve the Paris Agreement goals based on secondary sources and analysis. The last section summarizes key findings and provides concluding remarks (Chapter 4).

# 2 Introduction to the Technology Needs Database (TND)

This chapter provides an overview of the sources, categorization and coding of technology needs in the Technology Needs Database (TND, Version 1.1, June 2017). The TND identifies technology needs specified by developing countries in their TNAs and (i)NDCs as of April 2017. All identified technology needs are categorized per standardized technology categories and technology classes. This approach enhances the overview for users and allows the comparison of different technology needs specified in TNAs and (i)NDCs.

#### 2.1 Sources

#### **Technology Needs Assessments**

As of April 2017, a total of 85 countries have undertaken a TNA (since 2001). The Technology Needs Database (TND) considers technology needs specified by **32 countries that participated in the TNA Phase II from 2011 and 2013**. A complete list of all 32 countries is included in Annex – A1. All information was retrieved from the TNA Database implemented by UNEP DTU Partnership and funded by the Global Environment Facility (GEF). The TND does not consider TNAs conducted in TNA Phase I before 2011. These assessments are outdated and hence do not allow for a meaningful comparison to technology needs specified in (i)NDCs or to inform the activities of the NDE of Germany. 25 countries are currently undertaking a TNA, which have not been made available as of April 2017. These most recent TNAs will be considered in future updates of the TND.

#### (Intended) Nationally Determined Contributions

<sup>&</sup>lt;sup>1</sup> The Technolgy Needs Database (TND) was compiled by NewClimate Insitute. Please contact the NDE Germany Implementing Office for further information or access to the TND.

<sup>&</sup>lt;sup>2</sup> Accessed on 13.03.2017 at <a href="http://unfccc.int/ttclear/tna">http://unfccc.int/ttclear/tna</a>.

<sup>&</sup>lt;sup>3</sup> Accessed on 13.03.2017 at <a href="http://www.database.tech-action.org/">http://www.database.tech-action.org/</a>.



As of March 2017, 67 countries have specified technology needs in their (i)NDCs (Rocamora, 2017). However, the level of detail and coverage significantly differs between different countries. Whereas some countries only make a general reference to technology transfer requirements, other countries identify and list specific technology needs. For this reason, the TND to date only includes **51 countries which reference one or more specific technologies that could be assigned to technology categories and technology classes**. A complete list of all 51 countries is included in Annex – A2. The coding of technology needs in (i)NDCs is based on the information provided in the IGES NDC & INDC Database <sup>4</sup> implemented by the Institute for Global Environmental Strategies (Rocamora, 2017) and complemented by NewClimate Institute's own analysis of existing (i)NDC documents. Newly published or updated NDCs will be considered in future updates of the TND.

### 2.2 Categorization of technology needs

To ensure the comparability between technology needs specified in TNAs and (i)NDCs, the TND categorizes all technology needs per uniform technology categories and technology classes. An overview of all categories is included in Table 5 (Mitigation) and Table 6 (Adaptation) in Annex – A3.

#### **Technology categories (1st level)**

The technology categories for both mitigation and adaptation are informed by Beucker et al. (2014).<sup>5</sup> Each technology category represents a superordinate cluster of different technology categories.

#### **Technology classes (2<sup>nd</sup> level)**

The technology classes for both mitigation and adaptation are informed by the compilation of technology classes in the TNA Database implemented by UNEP DTU Partnership. All technology classes have been assigned to respective technology categories.

In the field of mitigation, technology classes have been further assigned to newly defined sub-categories. These sub-categories contribute to an enhanced overview by further clustering technology classes (e.g. technology classes like hydro and solar PV under renewable energy generation) and allow for the coding of those technology needs that have only been specified in a more general way in the (i)NDCs. The sub-categories introduced for mitigation allow for the coding of such more generally specified technology needs into categories as recognised by relevant stakeholders (e.g. industry) in the sector.

For each technology category in both mitigation and adaptation, a *General - not further defined* sub-category has been added which allows to assign technology needs that have been reported in a more general manner.

<sup>&</sup>lt;sup>4</sup> Accessed on 13.03.2017 at <a href="https://pub.iges.or.jp/pub/iges-indc-ndc-database">https://pub.iges.or.jp/pub/iges-indc-ndc-database</a>.

This study Technologies and services for climate mitigation and adaptation from Germany was prepared for the German Federal Ministry for Economic Affairs and Energy and overviews the support for the UNFCCC Technology Mechanism provided by the German National Designated Entity.



### 2.3 Coding rules

#### **Technology Needs Assessments**

Technology needs for the 32 TNAs included in the TND are already assigned per technology classes in the TNA Database implemented by UNEP DTU Partnership.

#### (Intended) National Determined Contributions

All technology needs specified in (i)NDCs were assigned to the respective technology classes in the field of mitigation and adaptation. In this context, the coding had to account for the broad diversity of how countries have specified technology needs in their (i)NDCs. The coding of technology needs in (i)NDCs was based on the following coding rules:

- Where countries mentioned technology needs in specific technology classes (e.g. solar PV), these were assigned to the respective technology classes.
- Where countries mentioned technology needs in generic technology classes (e.g. renewable energy generation), these were assigned to the respective general subcategories (for mitigation) or *General - not further specified* categories (for adaptation).
- Where countries mentioned technology needs for a general sector (e.g. transport), all general sub-categories (for mitigation) or General - not further specified categories (for adaption) in this sector were ticked.
- Where countries mentioned technology needs for a cross-sectoral theme (e.g. energy
  efficiency), all relevant general sub-categories (for mitigation) or General not further
  specified categories (for adaption) for this cross-sectoral theme were ticked across
  different sectors.
- Where countries do not specify whether the technology needs relate to technology classes in the field of adaptation or mitigation (e.g. technology needs in the forestry sector), general sub-categories and/or technology classes in mitigation and/or adaptation were ticked based on expert judgement.

The column 'Comments on (i)NDC coding' in the General worksheet of the TND provides further explanations on how technology needs were coded for specific countries.

# 3 Role of technology to achieve climate targets

# 3.1 Overview of countries specifying technology needs in TNAs and (i)NDCs

Figure 1 provides a regional overview of countries specifying technology needs in TNAs and (i)NDCs, which have been included in the TND. Countries were grouped in five main geographical regions: Sub-Saharan Africa, North Africa/Middle East, Latin America/Caribbean, Europe & Central Asia, and Asia & Pacific. The total number of countries per geographical region specifying technology needs should be taken into consideration when conducting further analysis based on the TND. In total, Sub-Saharan Africa (30 countries) and Asia & Pacific (20 countries) are the most represented regions in the TND.



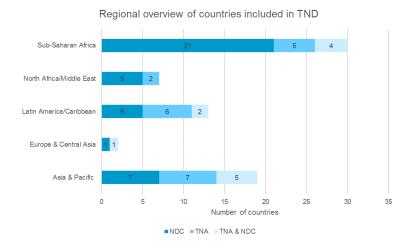


Figure 1: Regional overview of countries included in the TND

### 3.2 Summary statistics

#### 3.2.1 Mitigation

Figure 2 provides a general overview of the number of countries that have specified technology needs in different technology categories in the field of mitigation. As explained in Chapter 2.2 and Table 5 in Annex – A3, technology needs in the field of mitigation are grouped into eight superordinate clusters of different technology categories. As shown in Figure 2, technologies in the field of *low emission energy supply* represent the most frequently identified technology needs by countries in the TND, followed by technologies for *energy efficient cities and infrastructure* and *low emission mobility and transportation*.

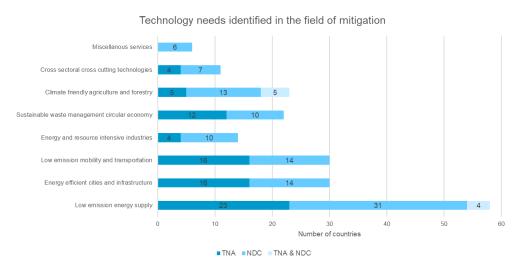


Figure 2: Overview of specified technology needs in different technology categories (Mitigation)

#### Regional overviews

Figure 3 provides regional overviews of specified technology needs in the eight different technology categories in the field of mitigation. This provides a more comprehensive overview of how identified technology needs in the field of mitigation are distributed across the five regions. In all regions, technology needs in the field of *low emission energy supply* are the most frequently identified.



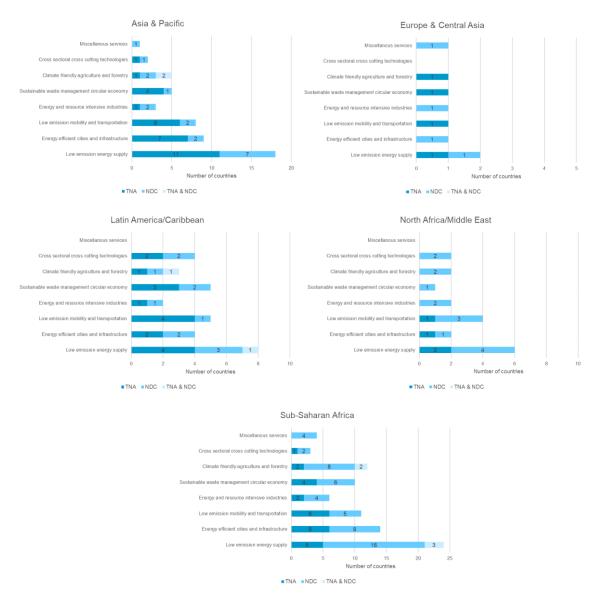


Figure 3: Regional overview of specified technology needs in different technology categories (Mitigation)

#### Sub-category analysis of identified technology needs (Example)

Besides generic analysis on regional differences in identified technology needs in different technology categories, more in-depth analysis can be conducted based on the TND on identified technology needs in specific technology classes as outlined in Chapter 2.2 and Table 5 in Annex – A3. Figure 4 provides an example for such a sub-category analysis for *renewable energy power generation* in Sub-Saharan Africa. This exemplary analysis reveals the number of Sub-Saharan African countries identifying technology needs for specific technology classes of renewable energy technologies (e.g. 12 countries for *solar PV*) and those countries which only identify general technology needs in this technology category (i.e. 15 countries for *General – Renewable energy power generation*). Such an analysis allows for a better understanding of technology needs specified for different technology classes in a respective technology category and can be conducted for each region and technology category.



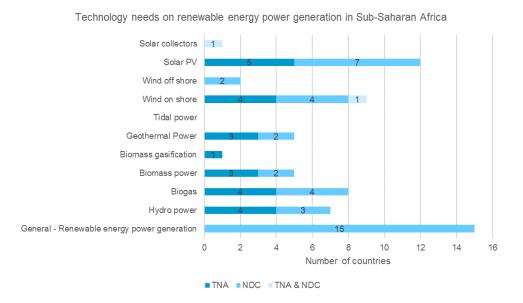


Figure 4: Technology needs on renewable energy power generation in Sub-Saharan Africa

### 3.2.2 Adaptation

#### **General overview**

Figure 5 provides a general overview of the number of countries that have specified technology needs in different technology categories in the field of adaptation. As explained in Chapter 2.2 and Table 4 in Annex – A3, technology needs in the field of adaption are grouped into 12 overarching technology categories. As shown in Figure 5, technologies in the field of *climate compatible agriculture and forestry* represent the most frequently identified technology needs by countries in the TND, followed by technologies for *water management*, *disaster prevention* and *meteorological measurement technology and climate simulation*.

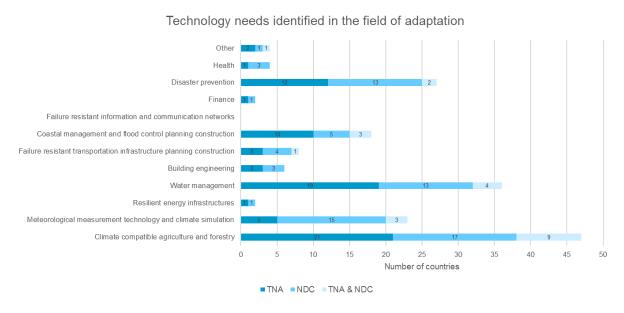


Figure 5: Overview of specified technology needs in different technology categories (Adaptation)



#### **Regional overviews**

Figure 6 provides regional overviews of specified technology needs in different technology categories in the field of adaptation. This provides a more comprehensive overview of how identified technology needs in the field of adaptation are distributed across the five different geographical regions. Technology needs in the field of *climate compatible agriculture and forestry* are the most frequently identified in all regions.

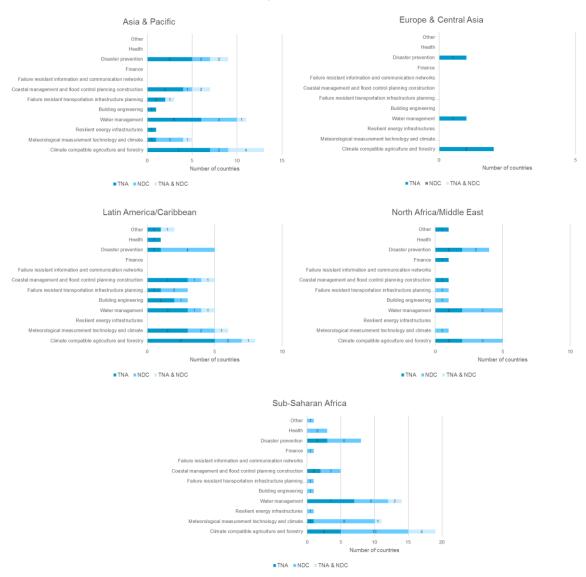


Figure 6: Regional overview of specified technology needs in different technology categories (Adaptation)

#### Sub-category analysis of identified technology needs (Example)

Similarly, to the exemplary sub-category analysis in the field of mitigation in Chapter 3.2.1, Figure 7 provides an overview of specified technology needs in different technology classes taking meteorological measurement technology and climate simulation in Latin America/Caribbean as an example. This analysis reveals the number of Latin American and Caribbean countries identifying technology needs for specific technology classes for meteorological measurement technology and climate simulation (e.g. 4 countries for weather monitoring and forecasting) and those countries which only identify general technology needs in this technology category (i.e. one country for General – not further defined). Such an analysis allows for a better understanding of technology needs specified for different technology classes in a respective technology category.



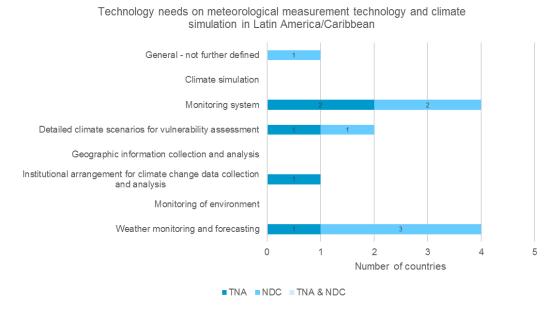


Figure 7: Technology needs on meteorological measurement technology and climate simulation in Latin America/Caribbean

#### 3.3 Limitations

The TNAs and (i)NDCs provide relevant insights into the prioritised technology needs of developing countries to advance climate action and address climate related challenges. However, the review of the TNAs and (i)NDCs also revealed certain limitations which need to be taken into account when used to inform the activities of the NDE Germany and its German partners. The key aspects of comprehensiveness, comparability, and robustness are discussed in the following.

#### Comprehensiveness

Even though a total number of 85 developing countries have completed a TNA since 2001<sup>6</sup>, only a limited number of TNAs are still up to date and were included in the TND. 25 countries are currently undertaking a TNA, which will allow for a more updated view as soon as these will be finalized. Similarly, not all countries included specific information on technology needs in their (i)NDCs. The inclusion of technology needs in (i)NDCs is not a reflection of actual technology needs (or rather the lack thereof) but rather a result of the bottom up process of (i)NDCs where the scope and type of information to be included in the (i)NDCs was not standardised. Hence many countries may not have considered to include technology needs in their (i)NDCs. It is likely that the inclusion of specific technology needs in the (i)NDC as well as the participation in the TNAs are the result of individual decisions or expert groups that accompanied such processes. In addition, the inclusion and comprehensiveness of identified technology needs might critically be linked to the available personnel, administrative and financial resources in each country-context and the support received by international organizations and donors. This differences in capacity also affects the comparability and robustness of identified technology needs across countries.

Those countries that specified technology needs in the (i)NDCs and/ or participated in the TNAs may not have addressed technology needs across all different sectors and subsectors. Although

-

<sup>&</sup>lt;sup>6</sup> Accessed on 13.03.2017 at <a href="http://unfccc.int/ttclear/tna">http://unfccc.int/ttclear/tna</a>.



in particular the TNA process includes a prioritisation exercise, identified technology needs may reflect a certain bias depending on the stakeholders involved in the process and their particular perspectives.

#### Comparability

The analysis showed that countries presented technology needs in different ways both in the TNAs as well as (i)NDCs, a reflection of the lack of standardised format in particular for (i)NDCs. As a result, the level of granularity is very diverse as well as the level of depth and detail presented on technology needs. Particularly in the case of (i)NDCs, some countries only reference general categories or sectors whilst others list specific technologies in different technology classes. In the case of TNAs, the level of detail provided is naturally much greater given the different purpose of the process and resulting documents.

#### **Robustness**

In general, it is difficult to judge whether the information presented in the (i)NDCs is a true reflection of the actual technology needs faced by countries in different sectors and whether this has been based on a deeper analysis of technology related barriers. Whilst the TNA processes are particularly focused on the identification of technology needs, it is not always clear in how far the identified needs reflect political priorities or intentions rather than actual technology gaps. The results of such assessments strongly depend on the experts and stakeholders consulted in the process.

In addition, technology development can be subject to unforeseen (including disruptive) change. Identified needs may be quickly overtaken by actual technology development (e.g. photovoltaics reaching market maturity and high penetration rates much quicker than expected). Hence technology needs assessments need to be updated regularly to reflect the current state of development of the respective markets and technology sectors.

### 3.4 Specified technology needs in light of the Paris Agreement goals

The analysis in this chapter identifies overlaps and gaps between technology needs specified in TNAs and (i)NDCs and priority actions to achieve the Paris agreement goals informed by relevant literature in the field. The results give a general indication of whether the TNAs and (i)NDCs are broadly aligned with what needs to happen on the ground to achieve the mitigation and adaptation goals. However, they should be treated with caution given the limitations of the TND outlined in Chapter 3.3. The prioritised actions for mitigation and adaptation in themselves provide useful insights into potential focus activities for the NDE Germany.

#### 3.4.1 Mitigation

The Paris Agreement stipulates the overall goal to hold global average temperature increase to "well below 2°C above pre-industrial levels and pursuing efforts to limit the temperature increase to 1.5°C above pre-industrial levels" (UNFCCC, 2015). To achieve this goal to limit the temperature increase to 1.5°C above pre-industrial levels, Parties to the Paris Agreement need to reach zero carbon dioxide emissions by mid-century and zero overall greenhouse gas emissions roughly in the 2060s (Climate Action Tracker, 2016).<sup>7</sup> In this context, Table 1 identifies

The analysis of integrated energy-economy-environment scenarios in available literature in the field conducted by Rogelj et al., (2015) reveals that to limit global warming to 1.5° with a >50% change, zero overall greenhouse gas emissions need to be roughly achieved in the 2060s. The study's Figure 1 (Rogelj et al.,



gaps and overlaps between identified technology needs in TNAs and (i)NDCs and the most important actions to achieve such substantial emission reductions. The identification of the most important actions in different sectors is informed by the Climate Action Tracker's analysis on most important mitigation actions to limit global warming to 1.5° (Climate Action Tracker, 2016).8 The analysis takes a global perspective in that the steps relate to both developed and developing countries, hence certain actions may be more or less relevant in different country contexts.

The analysis in Table 1 reveals that the *energy* and *buildings* sectors are particularly well represented in the TNAs and (i)NDCs in line with their importance for achieving the Paris mitigation goals. The *transport*, *forestry*, and *agriculture* sectors, however, are relatively underrepresented in identified technology needs in comparison to the high importance of these sectors' emissions in developing countries.

Table 1: Most important actions to achieve Paris Agreement goals in the field of mitigation

Sector (Steps identified in Climate Action Tracker (2016))	Key actions to achieve Paris goals according to Climate Action Tracker (2016)	Technology needs in TNAs and NDCs		
Energy (Step 1 and 2)	Renewable energy: Full decarbonisation of power systems with renewable and other zero and low-carbon technologies Coal phase-out: Consistent effort to reduce emissions from coal electrification and combustion	<ul> <li>As shown in Figure 2, low emissions energy supply is the mitigation sector where most countries have identified technology needs (total of 58 countries). Most of these countries specifically identify technology needs in the field of renewable energy generation (53 countries), especially for hydro power, biogas, onshore wind and solar PV.</li> <li>With regards to reducing emissions from coal electrification and combustion, several countries specify technology needs for advanced coal technology (7 countries) and coal gasification (3 countries). This does not reflect the high importance of drastically reducing emissions from coal electrification and combustion.</li> <li>These results reveal that the need of a transition towards the decarbonisation of the power sector is well reflected by identified technology needs, especially in the case of renewable energy generation.</li> </ul>		
Transport (Step 3)	<ul> <li>Electric vehicles: Significant increase in share of electric vehicles</li> <li>Modal shift: Strong modal shifts and efforts to decrease emissions from freight transport</li> </ul>	<ul> <li>As shown in Figure 2, a total of 30 countries specify technology needs in the field of low emission mobility and transportation.</li> <li>Only 6 developing countries specify technology needs for electric mobility. This finding might reflect the fact that for many developing countries, electric mobility does not yet constitute a priority for technology transfer (as it may be regarded as too advanced in light of other energy sector challenges, e.g. stable supply, energy access, etc.).</li> <li>In addition, 11 countries have specified technology needs in the field of modal shift, comprising technologies such as mass transport (6 countries), bus rapid transit systems (2 countries), and non-motorized transport (2 countries).</li> <li>In general, the identification of technology needs in the transport sector is relatively underrepresented given the high importance of the transport sector for mitigation. Especially technologies addressing modal shift should be more in the focus of attention in the context of ongoing</li> </ul>		

<sup>2015)</sup> provides a summary of all emission scenarios taken into consideration for medium 2°C scenarios (50-66% chance), likely 2°C scenarios (>66% chance), and 1.5°C scenarios (>50% chance).

<sup>&</sup>lt;sup>8</sup> The Climate Action Tracker (CAT) is an independent scientific analysis produced by three research organisations tracking climate action and global efforts towards the globally agreed aim of holding warming below 2°C, since 2009. Please see <a href="https://www.climateactiontracker.org">www.climateactiontracker.org</a> for further information.



	urbanization trends and increasing demand of transportation worldwide.
Aviation and shipping (Step 4)	<ul> <li>Development and use of energy efficient technologies</li> <li>Use of biofuels in aviation and shipping</li> <li>Reduction in travel demand</li> <li>Technology needs in the aviation and shipping sector have not been identified by developing countries. For instance, none of the countries has specified such needs in the technology class 'Efficient ship/ harbour logistics (Water)'. Such technologies might still have a relatively low priority for many developing countries.</li> <li>As for the use of biofuels, a couple of countries specified technology needs for biofuels, 2<sup>nd</sup> generation biofuels, and bioethanol (8 countries). These specified technology needs, however, might mainly relate to the use of biofuels in vehicle</li> </ul>
Buildings (Step 5 and 6)	<ul> <li>Energy efficient new buildings:         Significant decrease in emission of newly constructed building stock</li> <li>Building renovation:         Significantly increase the rate of (deep) retrofit of existing building stock</li> <li>Building stock</li> <li>Building stock</li> <li>Building renovation:         Significantly increase the rate of (deep) retrofit of existing building stock</li> <li>Apart from efficiency related to the das of blotteds in verificient transportation rather than for aviation and shipping.</li> <li>As shown in Figure 2, a total of 30 countries specify technology needs for efficient new and retrofitted buildings, 12 countries specify technology needs for efficient heating and cooling, with a strong focus on insulation (10 countries). Moreover, 20 countries specify technology needs for efficient appliances, cooking and lighting in buildings — mainly for efficient stoves (9 countries), efficient lighting systems (5 countries) and CFL's (6 countries).</li> <li>Apart from efficiency related technology needs, 7 countries specify technology needs for renewable energy heating in the building sector and 6 countries mention the general need for technology transfer in this sector.</li> <li>Overall, the buildings sector is well represented by identified</li> </ul>
Industry (Step 7)	<ul> <li>Industrial efficiency: Use of best available low carbon technology standards</li> <li>Production of steel, cement, ammonia, and petrochemicals: Further development and rapid introduction of efficient steelmaking technology</li> <li>Material efficiency: Maximise material efficiency to reduce primary material production</li> <li>Maximise technology needs in TNAs and (i)NDCs.</li> <li>As shown in Figure 2, only 14 countries specify technology needs in the field of energy and resource intensive industry.</li> <li>Most of these developing countries specify technology needs on industrial efficiency (11 countries), even though these are mainly mentioned as a general need for technology transfer in this sector (8 countries).</li> <li>4 countries further specify technology needs for industrial low-carbon technologies such as Carbon Capture and Storage (CCS) for industrial process emissions.</li> <li>Overall, the industry sector is relatively underrepresented in the specified technology needs. This might relate to the fact that many developing countries do not want to incur higher industrial production costs due to climate-friendly technologies, which might decelerate economic development.</li> </ul>
LULUCF (Step 8)	<ul> <li>Optimisation of synergies between energy, land-use management and agriculture</li> <li>Implementation of country-specific solutions for emission reduction (e.g. agroforestry)</li> <li>Operationalization of financial support mechanisms</li> <li>As shown in Figure 2, 23 countries specify technology needs in the field of climate friendly agriculture and forestry.</li> <li>In the field of forestry, a total of 15 countries specify technology needs, whereas the majority only mentions a general need for technology transfer in this sector (10 countries). In addition, many countries identify technology needs for afforestation &amp; reforestation (6 countries), reforestation (4 countries), sustainable forest management (3 countries) and agroforestry (3 countries).</li> <li>Overall, the LULUCF sector is relatively underrepresented in the specified technology needs, especially considering its enormous importance for emissions in many developing countries.</li> </ul>
Commercial agriculture (Step 9)	<ul> <li>Adoption of best practice approaches within each region</li> <li>Additional potential from healthy diets, food waste</li> <li>As shown in Figure 2, 23 countries specify technology needs in the field of climate friendly agriculture and forestry.</li> <li>In the field of agriculture, a total of 18 countries specify technology needs. Only 4 of these countries identify specific technology needs (2 countries each for fertilizer</li> </ul>



	reduction and advancing research and development	management and sustainable land use management), whereas 14 countries only mention a general need for technology transfer in this sector.
		<ul> <li>Overall, the commercial agriculture sector is relatively underrepresented in the specified technology needs, especially considering that most countries only specify more general needs for technology transfer in the sector.</li> </ul>
CO₂ removal (Step 10)	<ul> <li>Begin research and planning for negative emissions (emissions removal)</li> </ul>	<ul> <li>CO<sub>2</sub> removal currently plays a limited role in the identified technology needs by developing countries. 6 countries identified technology needs for Carbon Capture and Sequestration/Storage (CCS).</li> </ul>

### 3.4.2 Adaptation

Early adaptation action is essential to meet the goals of the Paris Agreement, as timely adaptation efforts can enhance preparedness for future risks, lower future losses in lives and livelihoods and reduce the overall cost of adaptation (UNFCCC, 2016). However, climate change impacts are diverse, not easily predictable and can be both short and long-term. Consequently, adaptation efforts become particularly difficult and context-specific, requiring further consideration of local climate impacts, risks and vulnerabilities (CARE International, 2016). Table 2 identifies a series of key actions in the field of adaptation aimed at strengthening resilience and reducing vulnerabilities. The identification of the most important actions in different sectors is informed by the UNFCCC's report 'Climate Action Now – Summary for Policymakers 2016' (UNFCCC, 2016). Early action in the sectors of agriculture, forestry and land use and human settlements and infrastructure offers significant adaptation and mitigation synergies.

The analysis in Table 2 focuses on fields of adaptation action in the context of technology transfer. The analysis reveals that the priority actions in the *agriculture, forestry and other land use* sector are particularly well represented in the TNAs and (i)NDCs in line with their importance for achieving the adaptation goals in the Paris Agreement. Priority actions in the *water resources* and *disaster risk reduction* sectors are considered to varying degrees, meaning that several priority actions are well represented whereas others are not. Priority actions in the *oceans and coastal zones* and *human settlements and infrastructure* are relatively underrepresented in identified technology needs in comparison to the high importance of these sectors' emissions in developing countries.

Table 2: Most important actions to achieve Paris Agreement goals in the field of adaptation

Sector	Key actions according to UNFCCC (2016)	Technology needs in TNAs and NDCs
Planning, implementation, monitoring and evaluation of adaptation efforts	<ul> <li>Assessment of impacts, vulnerabilities and adaptation options</li> <li>Adaptation planning</li> <li>Monitoring and evaluation (M&amp;E)</li> </ul>	<ul> <li>Overall, institutional capacity needs for planning, implementation, monitoring and evaluation of adaptation measures are not properly reflected in TNAs and (i)NDCs.</li> <li>This shortcoming might link to the generally narrow definition of technology transfer still used by many actors as of today, which mainly focuses on specific technologies but to a lesser degree on capacity and institution building.</li> </ul>
Water resources	<ul> <li>Supporting integrated water resources management</li> <li>Optimizing flexibility and robustness of water infrastructure</li> <li>Diversifying water resources</li> </ul>	<ul> <li>As shown in Figure 5, 36 countries specify technology needs in the water management sector covering a broad range of different technology needs.</li> <li>As for integrated water resources management, a total of 8 countries have specified technology needs for either integrated urban water resources management (3 countries), watershed management or leakage</li> </ul>



	Reducing demand and improving the design and	reduction (3 countries) and loss management in water supply (3 countries).
	operation of water-related infrastructure	<ul> <li>Many countries specify technology needs for diversifying water resources, especially for rainwater harvesting (16 countries), wastewater treatment &amp; reuse (8 countries) and water reclaim &amp; reuse (6 countries).</li> </ul>
		<ul> <li>Only 1 country identified technology needs for failure- resistant water infrastructure (comprising planning and construction). Given the importance of and vulnerability to climate change of water infrastructure, this clearly reflects a shortcoming in identified technology needs.</li> </ul>
		<ul> <li>Overall, the priority actions for water resources are considered to a varying degree. While technology needs for water resources management and diversifying water resources are well represented, failure-resistant and sustainable water infrastructure is almost not considered.</li> </ul>
		As shown in Figure 5, 18 countries specify technology needs in the field of costal management and flood control (planning and construction).
		13 countries have specified technology needs on hard measures, comprising seawall dikes & barriers (6 countries), wetland restoration & protection (4 countries), and regeneration of beach & dunes (4 countries).
Oceans and coastal zones	Hard measures (e.g. building seawalls)     Soft measures (e.g. coastal management programmes and enhancement of vegetation)	9 countries have identified technology needs on soft measures, comprising a broad range of measures such as facilities for costal management and flood control or vulnerability and adaptation capacity assessments for coastal zones.
		Overall, the priority actions for ocean and costal zones are relatively underrepresented by identified technology needs, both for hard and soft measures. This shortcoming particularly gets importance in the context of the drastic consequences that rising sea levels and an increased risk of floods have on human lives and infrastructure in developing countries. In addition, the lack in appropriately identfiing such technology needs might become problematic due to the required long-term planning and high financing costs to successfully implement such measures in the future.
Disaster risk reduction	<ul> <li>'Low regret' strategies such as early warning systems, risk communication, sustainable land management, and ecosystem management and restoration<sup>9</sup></li> <li>Improvements in water supply, sanitation, health,</li> </ul>	As shown in Figure 5, 27 countries specify technology needs in the field of disaster prevention, especially focusing on 'low regret' strategies. Many countries specify a need for early warning and information dissemination technologies (22 countries) and natural disaster management for flood and/or drought (4 countries). As also shown in Figure 5, however, none of the developing countries has yet defined technology needs for failure resistant information and communication networks.
reduction	irrigation and draining systems <sup>10</sup> - 'Climate proofing' of infrastructure  Improved awareness and education	<ul> <li>In the field of 'climate proofing' of infrastructure, only 6 developing countries have specified technology needs on building engineering accounting for climate risks (such as climate screening of infrastructure proposals or climate-resistant spatial planning and construction). Given the increased risks of extreme natural weather events due to climate change in many countries, this might constitute a clear shortcoming in identified technology needs.</li> </ul>

<sup>9</sup> Low regret strategies in the field of land management, ecosystem management and restoration discussed under *Agriculture, forestry and other land use* sector.

<sup>&</sup>lt;sup>10</sup> Disaster risk prevention measures in the field of improvements in water supply, sanitation, health, irrigation and draining systems are discussed under the *water resources* sector.



		Similarly, only two countries specified technology needs
		for climate resilient energy infrastructure.
		In the field of improved awareness and education, developing countries generally have not specified technology needs. For example, only two countries mentioned such needs for capacity building and organisation of stakeholders. Again, this shortcoming might link to the generally narrow definition of technology transfer used as of today, which mainly focuses on specific technologies but to a lesser degree on capacity and institution building.
		<ul> <li>Overall, the priority actions for disaster risk reduction are considered to a varying degree. While technology needs for 'low regret' strategies and improvements in water supply, sanitation, health, irrigation and draining systems are generally well represented, 'climate proofing' of infrastructure and improved awareness and education are almost not considered at all.</li> </ul>
		<ul> <li>As shown in Figure 5, 47 countries specify technology needs for agriculture, forestry and other land use. This is the most widely cited technology needs category in the field of adaptation.</li> </ul>
		<ul> <li>At total of 22 countries specified technology needs for optimised irrigation, particularly on sprinkler and drip irrigation (9 countries), water saving irrigation (5 countries), water use management and efficient irrigation (4 countries).</li> </ul>
	<ul> <li>Land restoration</li> <li>Disaster relief, farm insurance and weather forecasts</li> <li>Altered cultivation and sowing times as well as crop cultivars and species (Porter et al., 2014)</li> <li>Optimised irrigation</li> <li>Management of soil nutrients and erosion</li> <li>Switching to crop varieties tolerant to heat, drought or salinity</li> </ul>	At total of 20 countries identified technology needs for switching to crop varieties tolerant to heat, drought or salinity and altered cultivation and sowing times as well as crop cultivars and species, particularly for improved crop varieties (12 countries) and crop diversification and new varieties (6 countries).
Agriculture, forestry and other land use		As for management of soil nutrients and erosion, only 7 countries identify technology needs in the field of innovative fertilizer, water erosion reduction, and soil nutrient management (with 4 of these countries specifying soil management).
		<ul> <li>As for land restoration, afforestation and reforestation, 12 countries identify technology needs in these areas, particularly on adaptation-related agroforestry (6 countries) and afforestation and reforestation (5 countries).</li> </ul>
	<ul> <li>Enhanced efficiency and productivity in agriculture</li> </ul>	As for disaster relief, farm insurance and weather forecasts, 23 countries specify technology needs for meteorological measurement technology and climate simulation as shown in Figure 5, especially on weather monitoring and forecasting (15 countries) and monitoring systems (8 countries). Only 2 countries specify technology needs for agriculture and forest-related finance, both on agricultural crop insurance.
		<ul> <li>Overall, the priority actions for agriculture, forestry and other land use are well represented by identified technology needs. This indicates the high importance of this sector for countries' adaptation efforts.</li> </ul>
Human settlements and infrastructure	<ul> <li>Better land-use planning</li> <li>Building regulations to retrofit</li> <li>Flood proof structures and selective relocation</li> <li>Upgraded buildings to provide more ventilation and passive</li> </ul>	As outlined above, only 6 developing countries have specified technology needs on building engineering accounting for climate risks. These, however, do not directly address technology transfer for building retrofit or upgrading buildings to provide more ventilation and passive cooling. Similarly, only two countries specified technology needs for climate resilient energy
	cooling	infrastructure on micro hydro and solar PV.



<ul> <li>Simple and low-cost pilot interventions</li> </ul>	<ul> <li>As for better land-use planning, none of the countries has identified technology needs for climate-resistant spatial planning and only 2 countries for land-use planning in agriculture and forestry.</li> </ul>
	<ul> <li>Overall, the priority actions for human settlements and infrastructure are relatively underrepresented by identified technology needs. An explanation for this underrepresentation could be that action in this sector has mainly focused on mitigation as of today.</li> </ul>

### 4 Conclusions

This chapter provides some concluding remarks on the key findings of the analysis conducted on technology needs specified by developing countries in TNAs and (i)NDCs and compiled in the Technology Needs Database (TND). The summary statistics of the TND outlined in Chapter 3.2 and the descriptive analysis on overlaps and gaps between the TND set against the overview of priority actions to achieve the Paris Agreement goals in Chapter 3.4 allows to identify in how far key sectors are well-represented, or not, in technology needs as specified by developing countries in TNAs and (i)NDCs.

#### **Key findings**

In the field of mitigation, the summary statistics reveal that technology needs are most frequently identified in the areas of *low emission energy supply, energy efficient cities and infrastructure* and *low emission mobility and transportation*. The analysis on overlaps and gaps considering priority actions to achieve the Paris Agreement goals further shows that the *energy* and *building* sectors are particularly well represented in the TNAs and (i)NDCs in line with their importance for achieving the Paris mitigation goals. The *transport*, *forestry*, and *agriculture* sectors, however, are relatively underrepresented in comparison to the high contribution of these sectors to overall emissions in many developing countries.

In the field of adaptation, most technology needs are identified in the areas of climate compatible agriculture and forestry, water management, disaster prevention and meteorological measurement technology and climate simulation. The analysis of their alignment with the adaptation goals in the Paris Agreement shows that the priority actions in the agriculture, forestry and other land use sector are particularly well represented in the TNAs and (i)NDCs. Priority actions in the water resources and disaster risk reduction sectors are considered to varying degrees, meaning that several priority actions are well represented whereas others are not. Priority actions in the oceans and coastal zones and human settlements and infrastructure are relatively underrepresented in identified technology needs in the context of their high importance for adapation efforts in many developing countries.

#### **General remarks**

In general, technology needs specified in TNAs and (i)NDCs are a useful source of information on the level of activity and awareness of technology transfer both at the country and regional level. They give a sense of the general direction and (political) priorities of a given country's efforts to mitigate emissions and adapt to climate change. As such the analysis of the information contained in the TND provides interesting insights to identify main sectors where technology needs have been identified by developing countries. However, the limitations and caveats of the information provided needs to be taken into account. As discussed in Chapter 3.3, the information on technology needs presented in the TNAs and (i)NDCs reveals several limitations related to



the comparability, comprehensiveness, and robustness of the data provided. These limitations need to be considered in any generic analysis on technology needs as well as analysis in a specific country context. For these reasons, targeted analyses of specific market segments are needed to understand actual technology gaps in respective country contexts between communicated needs (that may have been identified through the TNAs or (i)NDCs) and actual market opportunity for technology providers to become active in climate-related technology transfer. This requires taking up-to-date information and indicators on, for example, recent market developments, the status of used technology in the country context, the number of local technology providers, and/or country-specific import legislations and other regulation (e.g. domestic production requirements) into consideration.



### 5 References

- Beucker, S., Clausen, J., Fichter, K., Jacob, K., & Bär, H. (2014). Technologies and services for climate mitigation and adaptation from Germany Support for the UNFCCC Technology Mechanism provided by the German National Designated Entity. Retrieved from http://www.bmwi.de/EN/Service/publications,did=672820.html [accessed on 27 March 2017]]
- CARE International. (2016). Adaptation Good Practice Checklist. Retrieved from http://careclimatechange.org/wp-content/uploads/2016/11/Adaptation-Good-Practice-Checklist.pdf [accessed on 12 April 2017]
- Climate Action Tracker. (2016). The ten most important short term steps to limit warming to 1.5°C. NewClimate Institute, Climate Analytics, Ecofys. Retrieved from http://climateactiontracker.org/assets/publications/publications/CAT\_10\_Steps\_for\_1o5.pdf [accessed on 17 November 2016]
- Porter, J. R., Xie, L., Challinor, A. J., Cochrane, K., Howden, S. M., Iqbal, M. M., ... Travasso, M. I. (2014). Food security and food production systems. Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change. https://doi.org/10.1111/j.1728-4457.2009.00312.x
- Rocamora, A. R. (2017). IGES INDC & NDC Database. Institute For Global Environmental Strategies (IGES). Retrieved from https://pub.iges.or.jp/pub/iges-indc-ndc-database [accessed on 28 March 2017]
- Rogelj, J., Luderer, G., Pietzcker, R. C., Kriegler, E., Schaeffer, M., Krey, V., & Riahi, K. (2015). Energy system transformations for limiting end-of-century warming to below 1.5 °C. *Nature Climate Change*, *5*(6), 519–527. https://doi.org/10.1038/nclimate2572
- UNFCCC. (2015). Paris Agreement Decision 1/CP.21 Report of the Conference of the Parties on its twenty-first session, held in Paris from 30 November to 13 December 2015 Addendum Part two: Action taken by the Conference of the Parties at its twenty-first session. Bonn, Germany: United Nations Framework Convention on Climate Change. Retrieved from http://unfccc.int/resource/docs/2015/cop21/eng/10a01.pdf
- UNFCCC. (2016). Climate Action Now Summary for Policymakers 2016. (O. Edenhofer, R. Pichs-Madruga, Y. Sokona, E. Farahani, S. Kadner, K. Seyboth, ... J. C. Minx, Eds.). Cambridge, UK and New York, NY: Cambridge University Press. Retrieved from http://unfccc.int/resource/climateaction2020/media/1281/unfccc\_spm\_2016.pdf [accessed on 12 April 2017]



# Annex – A1

Table 3: Overview of 32 countries with a completed TNA included in the TND

Country	Annex I / Non- Annex I	Country type	Region
Argentina	Non-Annex I	Developing country	Latin
			America/Caribbean
Azerbaijan	Non-Annex I	Developing country	Asia & Pacific
Bangladesh	Non-Annex I	LDC/SIDS	Asia & Pacific
Bhutan	Non-Annex I	LDC/SIDS	Asia & Pacific
Cambodia	Non-Annex I	LDC/SIDS	Asia & Pacific
Colombia	Non-Annex I	Developing country	Latin America/Caribbean
Costa Rica	Non-Annex I	Developing country	Latin America/Caribbean
Cote d'Ivoire	Non-Annex I	Developing country	Sub-Saharan Africa
Cuba	Non-Annex I	LDC/SIDS	Latin America/Caribbean
Dominican Republic	Non-Annex I	LDC/SIDS	Latin America/Caribbean
Ecuador	Non-Annex I	Developing country	Latin America/Caribbean
El Salvador	Non-Annex I	Developing country	Latin America/Caribbean
Georgia	Non-Annex I	Developing country	Asia & Pacific
Ghana	Non-Annex I	Developing country	Sub-Saharan Africa
Indonesia	Non-Annex I	Developing country	Asia & Pacific
Kazakhstan	Non-Annex I	Developing country	Asia & Pacific
Kenya	Non-Annex I	Developing country	Sub-Saharan Africa
Lao People's Democratic Republic	Non-Annex I	LDC/SIDS	Asia & Pacific
Lebanon	Non-Annex I	Developing country	North Africa/Middle East
Mali	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Mauritius	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Mongolia	Non-Annex I	Developing country	Asia & Pacific
Morocco	Non-Annex I	Developing country	North Africa/Middle East
Peru	Non-Annex I	Developing country	Latin America/Caribbean
Republic of Moldova	Non-Annex I	Developing country	Europe & Central Asia
Rwanda	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Senegal	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Sri Lanka	Non-Annex I	Developing country	Asia & Pacific
Sudan	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Thailand	Non-Annex I	Developing country	Asia & Pacific
Viet Nam	Non-Annex I	Developing country	Asia & Pacific
Zambia	Non-Annex I	LDC/SIDS	Sub-Saharan Africa



# Annex – A2

Table 4: Overview of 51 countries with technology needs specified in (i)NDCs included in the TND

Country	Annex I / Non- Annex I	Country type	Region
Benin	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Brazil	Non-Annex I	Developing country	Latin America/Caribbean
Brunei Darussalam	Non-Annex I	Developing country	Asia & Pacific
Burundi	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Cameroon	Non-Annex I	Developing country	Sub-Saharan Africa
Central African Republic	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Chad	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Comoros	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Cook Islands	Non-Annex I	LDC/SIDS	Asia & Pacific
Costa Rica	Non-Annex I	Developing country	Latin America/Caribbean
Cote d'Ivoire	Non-Annex I	Developing country	Sub-Saharan Africa
Democratic Republic of the Congo	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Djibouti	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Ecuador	Non-Annex I	Developing country	Latin America/Caribbean
Egypt	Non-Annex I	Developing country	North Africa/Middle East
Eritrea	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Fiji	Non-Annex I	LDC/SIDS	Asia & Pacific
Gambia	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Georgia	Non-Annex I	Developing country	Asia & Pacific
Grenada	Non-Annex I	LDC/SIDS	Latin America/Caribbean
Guinea	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Iran	Non-Annex I	Developing country	North Africa/Middle East
Jordan	Non-Annex I	Developing country	North Africa/Middle East
Lao People's Democratic Republic	Non-Annex I	LDC/SIDS	Asia & Pacific
Lesotho	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Liberia	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Malawi	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Mali	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Marshall Islands	Non-Annex I	LDC/SIDS	Asia & Pacific
Mexico	Non-Annex I	Developing country	Latin America/Caribbean
Mongolia	Non-Annex I	Developing country	Asia & Pacific
Montenegro	Non-Annex I	Developing country	Europe & Central Asia
Myanmar	Non-Annex I	LDC/SIDS	Asia & Pacific



All	NI A I	1.00/0100	0 1 0 1 1 1 1 1 1 1
Niger	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Oman	Non-Annex I	Developing country	North Africa/Middle
			East
Papua New Guinea	Non-Annex I	LDC/SIDS	Asia & Pacific
Philippines	Non-Annex I	Developing country	Asia & Pacific
Qatar	Non-Annex I	Developing country	North Africa/Middle
			East
Republic of Moldova	Non-Annex I	Developing country	Europe & Central
			Asia
Sao Tome and Principe	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Senegal	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Seychelles	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
South Africa	Non-Annex I	Developing country	Sub-Saharan Africa
South Sudan	Non-Annex I	Developing country	Sub-Saharan Africa
Suriname	Non-Annex I	LDC/SIDS	Latin
			America/Caribbean
Thailand	Non-Annex I	Developing country	Asia & Pacific
Togo	Non-Annex I	LDC/SIDS	Sub-Saharan Africa
Trinidad and Tobago	Non-Annex I	LDC/SIDS	Latin
			America/Caribbean
Uganda	Non-Annex I	Developing country	Sub-Saharan Africa
Viet Nam	Non-Annex I	Developing country	Asia & Pacific
Zambia	Non-Annex I	LDC/SIDS	Sub-Saharan Africa



# Annex – A3

Table 5: Technology categories and technology classes for MITIGATION

Technology category	Technology class
Low emission energy supply	Renewable energy power generation (general)
	Hydro power
	Biogas
	Biomass power
	Biomass gasification
	Geothermal Power
	Tidal power
	Wind on shore
	Wind off shore
	Solar PV
	Solar collectors
	Low emission fossil based energy supply (general)
	Combined heat and power
	Advanced Coal Technology
	CCS
	Combined cycle power plant
	Efficient gas combustion engines
	Coal gasification
	Other low(er) carbon power generation (general)
	Nuclear power
	Biomass co-firing
	Energy infrastructure improvements (general)
	Energy (efficient) transmission and distribution
	infrastructure
	Energy storage
	Smart grid for renewables
	Energy services (general)
	Electricity coverage based on renewable energy
	Planning & consulting services
Energy efficient cities a	nd Energy efficient heating and cooling (general)
infrastructure	Insulation
	Measures for energy conservation and optimal indoo
	temperature
	Integrated building design and measures
	Adaptive heating/cooling
	Building automation
	Efficient building systems HVAC
	Renewable energy heating
	Solar Heating/drying
	Geothermal heating
	Appliances, cooking and lighting (general)
	Efficient Lighting Systems
	CFL's



LED street lighting

Efficient cooling appliances

Efficient stoves

Solar cookers

Efficient ICT

Efficient pumps

Heat pumps

Low emission mobility and transportation

Vehicles (general)

Vehicle and Fuel technologies

Energy efficient motors

Regenerative braking

Low carbon transport fuels (general)

**Biodiesel** 

2nd Gen Biofuels

Bioethanol

Electric vehicles

Fuel cell drives

Modal shift (general)

Bus Rapid Transit systems

Mass Transport

Non-motorized Transport

Modal shift in freight transport

Intermodal logistics

Transport management/ logistics (general)

**Traffic Management** 

Efficient freight management (Road)

Private Vehicle Demand Management

Efficient ship/ harbour logistics (Water)

Infrastructure (general)

E- fuelling stations/ infrastructure

inland waterways

Energy and resource intensive industries

Industrial efficiency (general)

Efficient charcoal production

Cement - efficient brick kiln

Industrial sector end-use efficiency

Industry oven and furnace efficiency

Speed controlled electric motors

Efficient production and automation technology

Efficient compressed air generation

Industrial services (general)

Planning of efficient & integrated production concepts

Material and energy efficient product design

Industrial low carbon technologies (general)

CCS - Industrial process emissions

Substitution of fossil resources by renewable resources Low emission process heat generation and combustion



Sustainable waste management and	Sustainable waste management (general)
circular economy	Recycling
	Composting
	Waste sorting plants
	Solid waste treatment (general)
	Waste Heat Recovery
	Low emission waste incineration
	Biological waste treatment
	Landfill gas
	Waste incineration for energy use
	Wastewater treatment
	Management of medical waste
	Waste Services (general)
	Planning/ implementation of waste avoidance systems
	Waste separation concepts
Climate friendly agriculture and	Agriculture (general)
, ,	Fertilizer management
forestry	
	Sustainable land use management
	Restoration of degraded areas
	Groundwater extraction - renewable energy
	Improved storage and handling
	Emissions reducing cultivation technologies
	Emissions reducing management technologies
	Forestry (general)
	Monitoring of forest
	REDD
	Afforestation & Reforestation
	Reforestation
	Sustainable Forest Management
	Agroforestry
	GPS and remote sensing in forest fire control
	Wetlands (general)
	Mangrove restoration
	Moorland restoration
	Peat Carbon management
Cross sectoral cross cutting	Fuel switch to cleaner fuels
technologies	Coal mine methane recovery
3	Carbon capture and sequestration/storage
	High temperature waste to energy
	Energy efficient flue gas particulate collector technology
	Energy efficient NOx catalytic converter
	Refrigerant replacement
Missellaneaus services	Substitution of laughing gas
Miscellaneous services	Financing concepts
	Policy development
	Training and education



Table 6: Technology categories and technology classes for ADAPTATION

Climate compatible agriculture and forestry  Sprinkler and Drip Irrigation Improved crop varieties Conservation tillage - Adaptation Genetically Modified Crops Supplement feed for livestock during winter and spring Improved pest and inset control Improved rapid pest and plant disease diagnosis Seed and grain storage Agroforestry - Adaptation Radical terraces Conservation farming Mixed farming Crop diversification and new varieties Fertilizer management Organic agriculture Sustainable land use management Crop management Afforestation & Reforestation Localised irrigation	
Improved crop varieties Conservation tillage - Adaptation Genetically Modified Crops Supplement feed for livestock during winter and spring Improved pest and inset control Improved rapid pest and plant disease diagnosis Seed and grain storage Agroforestry - Adaptation Radical terraces Conservation farming Mixed farming Crop diversification and new varieties Fertilizer management Organic agriculture Sustainable land use management Crop management Afforestation & Reforestation	
Conservation tillage - Adaptation Genetically Modified Crops Supplement feed for livestock during winter and spring Improved pest and inset control Improved rapid pest and plant disease diagnosis Seed and grain storage Agroforestry - Adaptation Radical terraces Conservation farming Mixed farming Crop diversification and new varieties Fertilizer management Organic agriculture Sustainable land use management Crop management Afforestation & Reforestation	
Genetically Modified Crops Supplement feed for livestock during winter and spring Improved pest and inset control Improved rapid pest and plant disease diagnosis Seed and grain storage Agroforestry - Adaptation Radical terraces Conservation farming Mixed farming Crop diversification and new varieties Fertilizer management Organic agriculture Sustainable land use management Crop management Afforestation & Reforestation	
Supplement feed for livestock during winter and spring Improved pest and inset control Improved rapid pest and plant disease diagnosis Seed and grain storage Agroforestry - Adaptation Radical terraces Conservation farming Mixed farming Crop diversification and new varieties Fertilizer management Organic agriculture Sustainable land use management Crop management Afforestation & Reforestation	
Improved pest and inset control Improved rapid pest and plant disease diagnosis Seed and grain storage Agroforestry - Adaptation Radical terraces Conservation farming Mixed farming Crop diversification and new varieties Fertilizer management Organic agriculture Sustainable land use management Crop management Afforestation & Reforestation	
Improved rapid pest and plant disease diagnosis Seed and grain storage Agroforestry - Adaptation Radical terraces Conservation farming Mixed farming Crop diversification and new varieties Fertilizer management Organic agriculture Sustainable land use management Crop management Afforestation & Reforestation	
Agroforestry - Adaptation Radical terraces Conservation farming Mixed farming Crop diversification and new varieties Fertilizer management Organic agriculture Sustainable land use management Crop management Afforestation & Reforestation	
Radical terraces Conservation farming Mixed farming Crop diversification and new varieties Fertilizer management Organic agriculture Sustainable land use management Crop management Afforestation & Reforestation	
Conservation farming Mixed farming Crop diversification and new varieties Fertilizer management Organic agriculture Sustainable land use management Crop management Afforestation & Reforestation	
Mixed farming Crop diversification and new varieties Fertilizer management Organic agriculture Sustainable land use management Crop management Afforestation & Reforestation	
Crop diversification and new varieties Fertilizer management Organic agriculture Sustainable land use management Crop management Afforestation & Reforestation	
Fertilizer management Organic agriculture Sustainable land use management Crop management Afforestation & Reforestation	
Organic agriculture Sustainable land use management Crop management Afforestation & Reforestation	
Sustainable land use management Crop management Afforestation & Reforestation	
Crop management Afforestation & Reforestation	
Afforestation & Reforestation	
Localised irrigation	
Lecanoca migation	
Reservoirs and irrigation systems	
Irrigation information system and best practi	е
dissemination	
No tillage	
Selective livestock breeding	
Improved feeding practices	
Organic fertilizer - Adaptation	
Improved crop disease management	
Soilless agriculture	
Soil management	
Ecological pest management	
Cultivation of fodder crops	
Rice management  Reforestation	
Afforestation & Reforestation	
Efficient crop production - foliage and plastic mulches	
Crop rotation and organic fertilizer - Adaptation	
Aeroponics seed production	
Supplement feed for livestock for high nutrient	
Livestock disease management	
Irrigation	
Milkfish farming	
Sustainable Forest Management	
Institution for agricultural adaptation technology R&D	
Precision farming	
Agronomy	
Livestock breeding	



Mangrove restoration

Institution for agricultural adaptation technology

dissemination

Culture-based fisheries

**Biodiversity Management System** 

Sea weed farming

Extension of protected areas

Water use management and efficient irrigation

Rain guard for rubber trees

Yam cultivation from stem cuttings

Community-based agricultural extension agents

Institutional arrangement - fodder banks

Wind breakers

Sustainable pasture management

Peat Carbon management

Biochar to increase soil fertility

Water erosion reduction

Integrated pest management

Tillage /Residue Management

Intensive systems of animal husbandry

**REDD** 

Water saving irrigation

Efficient crop production - Rice intensification

Land use planning

Restoration of degraded areas

Monitoring of invasive and alien species

Irrigation - surface self-flow

Amelioration of saline soils

Greenhouse crops

Ridge and furrow farming

Conventional tillage and crop rotation for soil nutrient

management

Extensive systems of animal husbandry

Semi-intensive systems of animal husbandry

Artificial plantation with selected tree species

Sustainable forests management

Monitoring of forest

Sustainable crop management

Sustainable farming systems

Moorland restoration

Use of organic waste for feed products

General - not further defined

Weather monitoring and forecasting

Monitoring of environment

Institutional arrangement for climate change data

collection and analysis

Geographic information collection and analysis

Detailed climate scenarios for vulnerability assessment

Monitoring system

Meteorological measurement technology and climate simulation



	Climate simulation
	General - not further defined
Decilient energy infractivistics	Migra hydronowar Adoptation
Resilient energy infrastructures	Micro hydropower - Adaptation
	Solar power - Adaptation
	Climate tolerant thermal power plants
	Electricity storage
	General - not further defined
Water management	Desalination
	Rainwater harvesting
	Wastewater treatment and reuse
	Boreholes for water supply
	Water harvesting - earth dam
	Water resource assessment and prediction
	Protection of drinking wells during flooding
	Wastewater treatment
	Artificial recharge of aquifers
	Water user associations
	Small dams for continuous water supply
	Wells for groundwater extraction
	Water saving at taps
	Rain and snow water harvesting - hearder groups
	Improved domestic water treatment and storage
	Planning for safe water supply
	Community-based water management
	Integrated urban water resource management
	Water safety plan
	Fog harvesting
	Deep wells for water supply in dry season
	Water treatment and storage - household
	Water reclaim and reuse
	Leakage reduction and loss management in water supply
	Water treatment - solar distillation
	Construction and maintenance of dams and reservoirs
	Protection against saline water intrusion
	Water treatment - filtration
	Groundwater assessment and monitoring
	Watershed management
	Efficient water appliances
	Water saving and reuse - production system change
	Atmospheric water generation
	Improved domestic water treatment and storage
	General - not further defined
	1



**Building engineering** 

Passive houses - Adaptation

Urban infrastructure development

Elevated buildings

Climate screening of infrastructure proposals

Climate-resistant spatial planning Climate-resistant construction

Climate screening of infrastructure proposals

General - not further defined

Failure resistant transportation infrastructure planning and construction

Climate resilient roads

Water infrastructure operation

Climate screening of infrastructure proposals

Climate-tolerant infrastructure (railroad, road, airport)

Climate-tolerant port facilities General - not further defined

Coastal management and flood control planning and construction

Restoration of coastal vegetation

Coastal wetland protection and restoration

Regeneration of beach and dunes

Seawalls Dikes and Barriers

Mapping and protecting buffer zones along rivers

Wetland restoration and protection

Beach nourishment

Integrated coastal zone management (ICZM)

Integrated river basin management

Rehabilitation of existing coastal infrastructure

Artificial Sand Dunes and Dune Rehabilitation

Monitoring coastal marine systems

Beach vegetation management

Storm surge barriers and closure dams

Monitoring of coastal erosion and flooding

Artificial underwater reefs

Protection against landslides

Protection against mudflows

Protection of river banks

Vulnerability and adaptation capacity assessment for

coastal zones

Legislation on coastal protection

Awareness raising and training of coastal zone residents

and workers

Education on integrated coastal management

Slope and river bank protection - bamboo planting

Land reclaim

Facilities for coastal management and flood control

General - not further defined

Failure resistant information and communication networks

Soil moisture monitoring - real-time and wireless

Climate-tolerant data centers

Climate-tolerant communication networks

General - not further defined



Finance	Agricultural crop insurance
	Forest protection - environment service payment
	Reinsurance for climate risks
	General - not further defined
Disaster prevention	Early warning and information dissemination
	Natural disaster management - flood and drought
	Health professional education for climate-related
	disasters
	Heat wave - provisional arrangement for emergency care
	Heat wave - national plan for response
	Storm water management
	General - not further defined
Health	Detect prevent and contain vector borne diseases
	Improved sanitation - latrines
	Land management to prevent insect plagues
	Rapid treatment of heat stress
	General - not further defined
Other	Water absorbing products
	Weather modification - artificial raining
	Risk-coping production systems
	Institution for helping homeless people
	Carbon footprint of products
	Certification program for tourist facilities
	Technology plans for climate change variability
	Capacity building and organisation for stakeholders